

041204

11696 U.S. PTO

PTO/SB/05 (01-04)

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	LMND.P082X
First Inventor	Matthew R. Selmon
Title	Catheter Systems for Crossing Total Occlusions in Vasculature
Express Mail Label No.	EV 442 570 636 US

22581 U.S. PTO  
10/823416

041204

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 38]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 21]
5. Oath or Declaration [Total Sheets 4]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 10/308,568

Prior application information:

Examiner Sharon KennedyArt Unit: 3762

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number:  OR ☒ Correspondence address below

Name	Shemwell Gregory & Courtney LLP				
Address	4880 Stevens Creek Boulevard				
	Suite 201				
City	San Jose	State	California	Zip Code	95129
Country	U.S.A.	Telephone	408-236-6646	Fax	408-236-6641

Name (Print/Type)	Richard L. Gregory, Jr.	Registration No. (Attorney/Agent)	42,607
Signature	<i>R. Gregory</i>	Date	April 12, 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

The PTO did not receive the following

listed item(s) the Fee Transmittal Form

## **EXPRESS MAIL CERTIFICATE OF MAILING**

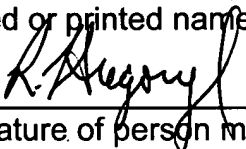
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Date of Deposit: April 12, 2004

I hereby certify that I am causing the paper(s) and/or fee(s) indicated below to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that the paper(s) and/or fee(s) have been addressed to the Mail Stop Patent Application, Commissioner for Patents, Alexandria, VA 22313-1450.

Richard L. Gregory, Jr.

(Typed or printed name of person mailing paper(s) or fee(s))

  
(Signature of person mailing paper or fee)

4-12-2004  
(Date signed)

Serial/Patent No.: \_\_\_\_\_ Filing/Issue Date: April 12, 2004

Title: Catheter Systems For Corssing Total Occlusions In

Vasculature

Atty. Docket No.: LMND.P082X

Date Mailed: April 12, 2004

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- |   |   |
|---|---|
| <input type="checkbox"/> Amendment/Response ( pgs.)                   | <input type="checkbox"/> Petition for Extension of Time ( month(s))           |
| <input type="checkbox"/> Preliminary Amendment ( pgs.)                | <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08A        |
| <input checked="" type="checkbox"/> Application - Utility (38 pgs.)   | <input type="checkbox"/> Issue Fee Transmittal                                |
| <input type="checkbox"/> Application - Rule 1.53(b) Contin. ( pgs.)   | <input type="checkbox"/> Submission of Formal Drawings                        |
| <input type="checkbox"/> Application - Rule 1.53(b) Divis. ( pgs.)    | <input type="checkbox"/> Notice of Appeal                                     |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP ( pgs.)       | <input type="checkbox"/> Appeal Brief ( pgs. in triplicate)                   |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA ( pgs.)       | <input type="checkbox"/> Reply Brief  |
| <input type="checkbox"/> Application - PCT ( pgs.)                    | <input type="checkbox"/> Response to Notice of Missing Parts                  |
| <input type="checkbox"/> Application - Provisional ( pgs.)            | <input checked="" type="checkbox"/> Utility Patent Application Transmittal    |
| <input checked="" type="checkbox"/> Drawings (21 sheets)              | <input type="checkbox"/> Fee Transmittal (in duplicate)                       |
| <input checked="" type="checkbox"/> Declaration (4 pgs.)              | <input checked="" type="checkbox"/> Itemized Postcard                         |
| <input checked="" type="checkbox"/> Assignment & Cover Sheet (3 pgs.) | <input checked="" type="checkbox"/> Express Mail Certificate Of Mailing       |
| <input checked="" type="checkbox"/> Power of Attorney                 | <input checked="" type="checkbox"/> Express Mail No. <u>EV 442 570 636 US</u> |
| <input type="checkbox"/> Nonpublication Request (35 USC 122(b))       | <input type="checkbox"/> Check No. _____ Amt _____                            |
| <input type="checkbox"/> Other _____                                  |   |